

Electronic Filing Instructions
In re Host America Corp. Securities Litigation

PLEASE READ:

ELECTRONIC FILING APPLIES TO BROKERS OR INSTITUTIONS THAT FILE CLAIMS ON BEHALF OF SEVERAL ACCOUNTS, OR CLASS MEMBERS WHO ARE FILING A CLAIM WITH A LARGE NUMBER OF TRANSACTIONS (100+).

IMPORTANT NOTE:

IF YOU PREVIOUSLY PROVIDED ALL THE NECESSARY INFORMATION AND DOCUMENTATION IN CONNECTION WITH THE EARLIER HOST AMERICA SETTLEMENT THEN THERE IS NO NEED TO FILE ANYTHING FURTHER AT THIS TIME.

ONLY THOSE CLASS MEMBERS WHO HAVE NOT YET SUBMITTED A VALID PROOF OF CLAIM ARE REQUIRED TO FILE (PRIOR TO MARCH 20, 2009) IF THEY WISH TO PARTICIPATE IN THE SETTLEMENT WITH THIS REMAINING DEFENDANT.

Electronic Filing Requirements

1. Proof of Claim form (Master)

This claim form serves as a master Proof of Claim and Release form for one or all of the accounts included on your file.

- You may obtain a Proof of Claim form by printing one from this website. Complete Page 1 & 2 of the Proof of Claim form including the Daytime/Evening Telephone Number and E-mail address. Be sure to include company name, account name/number, and company address. If submitting an electronic file on behalf of several accounts, enter "Various Accounts" for the account name/number.
- Read Release language and sign Release on Page 5 of Proof of Claim form.
 - The signature on the claim form must reference the capacity of the signatory.
- **If filing on behalf of your clients, you must include a signed letter on letterhead or other document providing your authorization to sign on behalf of your clients (affidavit, power of attorney, trust agreements, etc.)**

2. Signature Verification Document

This is a document verifying that the individual who signed the claim form and any supplemental letters is authorized to sign on behalf of his/her company.

- Some examples may include a copy of your company's By-laws (including signature pages), a copy of your company's corporate resolution (including signature pages), secretary's certificate, certificate of incumbency, or a notarized affidavit signed by an officer of your institution clearly granting a specific individual(s) authorization to act / sign on behalf of your company.

3. Excel spreadsheet or other electronic file containing account information and transactional data that MUST be created in accordance with our required filing format.

- The required filing format for this case is provided below.

- A completed cover sheet **MUST** be submitted with your electronic file.
 - The cover sheet must include the total number of accounts, total number of transactions, and contact name(s) with phone number(s) and e-mail address(es). A blank cover sheet is attached at the end of these instructions.
- **YOUR ELECTRONIC FILE IS SUBJECT TO REJECTION, IF NOT IN ACCORDANCE WITH OUR REQUIRED FILING FORMAT.**

4. Hard copy supporting documentation.

- You must submit paper documents with your Proof of Claim form that will support the transactions provided on your file. These documents may include: a) broker confirmations, b) monthly statements, or c) an affidavit or signed letter on firm letterhead attesting to the truth and accuracy of the information on your file (signature **must** reference the capacity and contact information for the signatory).
- We reserve the right to request additional documentation at any time after your file has been received and loaded.

Please read the following prior to generating your file:

- Files may be submitted as a Fixed-length text file (preferred) or an Excel spreadsheet
- **All** fields in the below format **must** be included on your file
- Any fields that do not apply to your file or the case **must** be left blank (do **not** delete any fields)
- Your file **must** be sorted in the following order:
 - account number
 - security identifier
 - transaction type
- If your file contains over 64,000 rows, you **must** submit the file as a fixed-length text file
- **Do not** exceed maximum character lengths for any field
- Your file cannot include transactions outside of the relative trade period for the specific case (see Schedule of Transaction pages of Proof of Claim form for trade periods)
- **Do not** provide any blank rows on your file
- A separate claim will be created for **each** distinct account number on your file
- Any files **not** in accordance with the below format are subject to rejection
- For Fixed Length Text File (must use .txt extension):
 - Your file **must** contain only data rows (do **not** include header/trailer records)
- For Excel spreadsheets (must use .xls extension):
 - Your file **must** include one tab with **all** account and transactional data
 - Your file **must** include one header row with column headings

- The “Length” column in the below format specifies the maximum number of characters for each Excel field

Required Filing Format

Col	Field	Start Position	Length	Notes
A	Company Name	1	40	Name of Company for Mailing of Checks (see Address Notes below) <ul style="list-style-type: none"> • <u>Include</u> a “c/o” before your company name if you require checks made out to the beneficial owner c/o your company
B	Address 1	41	40	Address1 Information for Mailing of Checks (see Address Notes below)
C	Address 2	81	40	Address2 Information for Mailing of Checks (see Address Notes below)
D	City	121	30	City for Mailing of Checks (see Address Notes below)
E	State	151	2	2 Character State Abbreviation for Mailing of Checks (see Address Notes below)
F	Zip5	153	5	5 Digit Zip for Mailing of Checks (see Address Notes below)
G	Zip4	158	4	4 Digit Zip for Mailing of Checks (see Address Notes below)
H	Country Code	162	2	2 character Country abbreviation (only for foreign addresses)
I	Customer Acct Name	164	40	Name of Account / Beneficial Owner
J	Customer Acct Number	204	30	Account Number
K	SSN or Tax ID Number	234	4	Format 9999 Last four digits of Social Security Number or Tax ID Number (leave column blank for foreign entities); NO Dashes; NO Spaces
L	Security Identifier Number	238	14	CUSIP, ISIN, or SEDOL Number of the Security <ul style="list-style-type: none"> • DO NOT include any spaces in your CUSIP, ISIN, or SEDOL Number.
M	Transaction Type	252	2	B = Beginning Holdings (close of business on 7/11/05) P = Purchase (7/12/05-7/22/05) S = Sale (7/12/05-7/22/05) U = Ending/Unsold Holdings (close of trading on 7/22/05) R = Free Receipt / Transfer In of Shares (see transfer notes below) D = Free Deliver / Transfer Out of Shares (see transfer notes below) <ul style="list-style-type: none"> • When inputting beginning or unsold holdings, the trade date column <u>must</u> indicate the holding date (beginning/end of Class Period), and the price per share and net amount columns <u>must</u> be left blank.
N	Trade Date	254	10	Trade date in MM/DD/YYYY format (including foreign entities)
O	Quantity	264	20	Format 9999999999999999.0000 Number of shares or warrants associated with this transaction (this value can ONLY be negative for short beginning/ending holdings positions or short stock splits and must be formatted with a negative symbol such as -9999999999999999.0000); NO commas (,); Enter Decimal Point and 4 positions after the decimal point;

P	Purchase/Sale Price	284	20	Format 9999999999999999.0000 Price per share or warrant <u>NO</u> commas (,); <u>NO</u> Currency Symbols (\$, €, £, etc); Enter Decimal Point and 4 positions after the decimal point; • This column must be blank <u>ONLY</u> when providing beginning and/or ending holdings or shares received from a merger.
Q	Aggregate Cost / Amount Received	304	20	Format 9999999999999999.0000 Total Purchase/Sale Price (excluding commissions); <u>NO</u> Commas (,); <u>NO</u> Currency Symbols (\$, €, £, etc); Enter Decimal Point and 4 positions after the decimal point; • This column must be blank <u>ONLY</u> when providing beginning and/or ending holdings or shares received from a merger.
R	Currency Type	324	3	Type of currency associated to transaction (USD, EUR, GBP, etc.)
S	Security Code	327	2	CS – Common Stock W – Warrant

Case Specific Instructions

- Security codes must be referenced on your file (see column S of filing format)
- Beginning Holdings are as of the close of business on 7/11/2005.
- Ending Holdings are as of the close of trading on 7/22/2005.

Address Notes

- For foreign addresses, the two character country code must be provided in column H, all address information (including full country names and provinces) must be included in the two address fields (columns B-C), and the city, state, zip fields (columns D-G) must be left blank.

Claim Balance and Stock Split Notes

- All securities (except options) for each account must balance. This means that the beginning holdings plus total purchases (including free receives, acquisitions, and stock splits) during the Class Period MUST EQUAL the total sales (including free delivers) during the Class Period plus the ending/unsold holdings.
 - Transaction types on your file must balance as follows:
 - **B+P+R+AQ+SS = S+D+U**
- Any accounts that have out of balance securities will be deemed deficient and remain eligible to the extent they are in balance.
- Negative values may only be given for short beginning holdings (transaction type “B”), short ending/unsold holdings (transaction type “U”), and short stock splits (transaction type “SS”) and must be formatted with a negative symbol such as -9999999999999999.0000. All other transactions must reflect positive values.

Securities Notes

- Each eligible security must reference an appropriate security identifier in column L of your file:

- CUSIP, ISIN, or SEDOL number for all securities except options
 - DO NOT include any spaces in your CUSIP, ISIN, or SEDOL Number

Transfer Notes

Free receive/free deliver (transfer in/out) transactions are not eligible for payment unless you have additional information regarding the original purchase from the prior account or the ultimate sale or ending holdings from the subsequent account. If you do not have this information, these types of transactions should be included on your file for **balancing purposes only**.

You **MUST** use the following instructions when filing for accounts that have free receive/free deliver (transfer in/out) transactions during the Class Period:

- **If shares were transferred to or from a different institution (corresponding account is not on your electronic file), you **MUST** follow these instructions:**
 - Shares Received into Account – You must account for any received shares by providing the beginning holdings or original purchase of these shares from the prior account.
 - Shares Delivered out of Account – You must account for any delivered shares by providing the sale(s) and/or ending holdings from the subsequent account.
 - No Information Available on Original Purchase/Subsequent Sale - You must provide the receive/deliver transactions in their respective claim(s) for **balancing purposes only**. A free receive or transfer in must be reflected as a transaction type “R” and a free deliver or transfer out must be reflected as a transaction type “D” (prices and net amounts must be zero). THESE TRANSACTIONS WILL NOT BE CONSIDERED ELIGIBLE AND WILL BE EXCLUDED FROM THE CALCULATION OF YOUR CLAIM.
- **If shares were transferred between accounts on your file, you **MUST** follow these instructions:**
 - DO NOT claim the same purchase or sale transactions on both accounts.
 - The account that owned the shares most recently should be the account claiming the eligible purchase. These shares should already be accounted for in the sales and/or ending holdings of the most recent account.
 - If shares were transferred between accounts for the same beneficial owner on your file, you must combine these accounts and file all eligible purchases and sales with the most recent account name and number. You must also include all beginning and ending holdings on the most recent account name and number.
 - If you are unable to combine these accounts accordingly, you must provide the receive/deliver transactions in their respective claim(s) for **balancing purposes only**. A free receive or transfer in must be reflected as a transaction type “R” and a free deliver or transfer out must be reflected as a transaction type “D” (prices and net amounts must be zero). THESE TRANSACTIONS WILL NOT BE CONSIDERED ELIGIBLE AND WILL BE EXCLUDED FROM THE CALCULATION OF YOUR CLAIM.

Submission Notes

- We prefer to receive your electronic claim submission on a diskette or CD ROM in the mail along with all other documentation requirements outlined in these instructions (use P.O. Box address on top of page 1 of the claim form and put to the attention of the Electronic Filing Department).
- If you need to email your file, it may be sent to eClaim@gardencitygroup.com but you must also attach your documentation requirements in the email as .pdf documents or equivalent.

Electronic Filing Cover Sheet

NAME OF LITIGATION: **In re Host America Corp. Securities Litigation**

FILE SUBMITTED BY:

Company Name _____

Company Address _____

Contact Name _____

Phone Number _____

E-Mail Address _____

FILE SUBMITTED AS: Disk / CD ROM (PREFERRED)

E-Mailed File

TOTAL # OF ACCOUNTS ON FILE: _____

TOTAL # OF TRANSACTIONS ON FILE: _____